W12P632US1

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REJECTION OVER A PENDING "REFERENCE" APPLICATION

In re Application of: CHARNLEY, Jr., James A.
Application No.: 10/079,022
Filed: February 20, 2002
For: METHOD FOR SELECTING INVESTMENTS IN BOOK-VALUED COLLECTIVE INVESTMENT FUNDS
The owner, <u>Jerna Nac Charles</u> , <u>Acc</u> or <u>January 1000</u> , and the charles of the statutory term of any patent granted on the instant application hereby disclaims coppt as provided below, the terminal part of the statutory term of any patent granted on the instant application which we stead beyond the expiration date of the full statutory term of any patent granted on pending reference Application Number <u>10077313</u> . South terminal t
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would be expertation date of the full statutory term as defined in 35 U.S.C. 154 and 170 of any patent granted on add reference application. The substitution is not patent granted on add reference application may be shortened by any terminal disclaimer field prior to grant of my year to grant on the participation of the full statutory term as shortened by any terminal disclaimer filed prior to the explaint on the full statutory term as shortened by any terminal disclaimer filed prior to the signal.
Check either box 1 or 2 below, if appropriate.
For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that within false statements mad not the ke so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jopopartize the validity of the application or any patient issued thereon.
2. The undersigned is an attorney or agent of record. Reg. No. 34632 September 17, 2007 Signature Signature
David R. Josephs
Typed or printed name
401-273-4446 Telephone Number
I
Terminal disclaimer fee under 37 CFR 1.20(d) is included.
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB96 may be used for making this statement. See MPEP § 3.24. This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO
In some control in minimature is required by 37 CFR 1.321. The minimature is required to occasi or letters as benefit by the public which is to like (and by the OSPI (I) to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including adhering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case Any comments or

the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patient and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, V.A. 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, V.A. 22313-1450, D.

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TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING
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The owner", "area size Country," except a ground be decay to ground related to the instance except as provided below, the terminal part of the statutory term of any patent granted on the instant applicit the expiration date of the full statutory term of any patent granted on the instant applicit the expiration date of the full statutory term of any patent granted on pending reference Application Number on February 12, 2004. — as so the term of any papication may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending hereby agrees that any patent so granted on the instant application shall be enforceable only for and during granted on the reference application are commonly owned. This agreement runs with any patent granted by the processor or assigns.	ation which would extend beyond 10777312 , filed atent granted on said reference reference application. The owner such period that it and any patent
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any perplication, has be term of any patent granted on said reference application may be shortened by any terminal patent of the properties of the statuter of th	atent granted on said reference minal disclaimer filed prior to the bending reference application: risdiction, is statutorily disclaimed b, is reissued, or is in any manner
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 For submissions on behalf of a business/organization (e.g., corporation, partnership, university, gove etc.), the undersigned is empowered to act on behalf of the business/organization. 	ernment agency,
I hereby declare that all statements made herein of my own knowledge are true and that all state believed to be true; and further that these statements were made with the knowledge that willful made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States statements may jeopardize the validity of the application or any patent issued thereon.	false statements and the like so
The undersigned is an attorney or agent of record. Reg. No. 34632	
Daidosks	September 17, 2007
Signature	Date
Typed or printed name	104 070 44:-
	401-273-4446 Telephone Number
Terminal disclaimer fee under 37 CFR 1.20(d) is included.	
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This collection of information is required by 37 CFR 1321. The information is required to obtain or retain a benefit by the put to processly an egiptication. Confidentifying is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.1.4. This collection is estimated by the put to proceed a significant control to the USP10. Time will vary depending upon the amount of time you require to complete this form and/or suggestors for reducing this burden, should be sent to the Chit Tradentark Cfiles. U.S. Department of Commerce, P.O. 68 or 1450, Alexandra, V.A. 2231.1450. ON OTS SNOT ESES.	nated to take 12 minutes to complete, the individual case, Any comments on of Information Officer, U.S. Patent and

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TERMINAL DISCLAIMER TO ORVIATE A PROVISIONAL DOLLRI E PATENTING. Docket Number (Optional)

REJECTION OVER A PENDING "REFERENCE" APPLICATION	W12P632US1
In re Application of: CHARNLEY, Jr., James A.	
Application No.: 10/079,022	
Filed: February 20, 2002	
For: METHOD FOR SELECTING INVESTMENTS IN BOOK-VALUED COLLECTIVE INVESTMENT FUND.	3
The owner, _iems also Gorsins it. of100_ percent interest in the inexcept as provided below, the terminal part of the statutory term of any patent grarted on the instant applite expiration date of the full statutory term of any patent grarted on pending reference Application Numbor ofAugust 11,203 as such term is defined in 36 U.S.C. 144 and 173. and as the term of any application may be shortened by any terminal declaimer filed prior to the grant of any patent on the pendin hereby agrees that any patent os granted on the instant application shall be enforceable only for and during granted on the reference application are commonly owned. This agreement runs with any patent grantel beinding upon the grantee. It is successors or assigns.	cation which would extend beyond or 10604699 , filed patent granted on said reference g reference application. The owner g such period that it and any patent
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted or extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 and 173 of any application, as the term of any patent granted on said reference application may be aboritered by any grant of any patent on the pending reference application. In the event that any such patent, granted on the expires for failure to pay a mainternance lee, is held unestrocable, is found invalid by a court of competent in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by an reasonable control to the expiration of this full statutory term as shortened by any terminal disclaimer flee prior to the expiration of the full statutory term as shortened by any terminal disclaimer flee prior the statement of the	patent granted on said reference erminal disclaimer filed prior to the e pending reference application: jurisdiction, is statutorily disclaimed te, is reissued, or is in any manner
Check either box 1 or 2 below, if appropriate.	
For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.	
I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.	
2. The undersigned is an attorney or agent of record. Reg. No. 34632	
Dail Signature	September 17, 2007 Date
David R. Josephs	
Typed or printed name	
	401-273-4446 Telephone Number
▼ Terminal disclaimer fee under 37 CFR 1.20(d) is included.	
WARNING: Information on this form may become public. Credit card information be included on this form. Provide credit card information and authorization or	n should not PTO-2038.
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to process) an application. Confidentially is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 114. This collection is estimated to late 2 minutes to complete, including glathering prepring, and substrating the completed application from the Intel®TCT. Time Will vary depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this bursten, should be sent to the Chief Information Officer, U.S. Patent and Tademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandrick, V. 2231-1450, D. NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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 DISCLAMENTATION OF THE PROPERTY OF TH Docket Number (Optional)

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n re Application of: CHARNLEY, Jr., James A.
Application No.: 10/079,022
Filed: February 20, 2002
For: METHOD FOR SELECTING INVESTMENTS IN BOOK-VALUED COLLECTIVE INVESTMENT FUNDS
The owner", <u>James Alter Chambey, J.</u> of <u>100</u> percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyone he expiration dust of the full statutory term of any patent granted on pending reference Application Number <u>16904711</u> [field on <u>August 12, 2003</u>], as such term is defined in 35 U.S.C. 154 and 173, and as the term of any patent granted on said reference application may be shortened by any retirmal disclaiment field prior to the grant of any patent on the pending reference application. The owner renewly agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and any patent granted on the reference application are commonly owned. This agreement runs with any patent granted on the instant application and is sincling upon the grantee, its successors or assigns.
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would storate to the septication date of the full statutory term as defined in 3.5 U.S.C. 154 and 17.3 of any patent granted or afference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer filed prior to the grant of any patent or the pending reference application." in the event that any such patent; granted on the pending reference palpication. "In the event that any such patent; granted on the pending reference palpication." by the event that any such patent; granted on the pending reference palpication. sopries for failure to pay a mainterance fee, is held unerforceable, is found invalid by a court of competent jurisdiction, is statutority disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a resemination certification is statutority disclaimed membrane to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.
Check either box 1 or 2 below, if appropriate.
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or innosnoment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.
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September 17, 2007 Date
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401-273-4446 Telephone Number
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This collection of information is required by 37 CFR 1.321. The information is required to obtain or return a benefit by the public which is to fine (and by the USFTO) pocess) an application. Confidentiality is governed by 38 U.S. (1.22 and 37 CFR. 1.11 and 1.14. This collection is estimated to state 12 minutes to complete, including aghlering, preparing, and submitting the completed application form to the USFTO. Time will vary depending upon the individual case. Any comments on executing the surface including adhlering the surface included be sent to the Criter information Cfflier, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND FEES SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 2231-1450, DO NOT SEND TO: Commissioner for Patents, P.O. Box 1450

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Docket Number (Optional)

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The owner, "Jerus San Country, because the Country, because the Country of 100 percent interest in the Institution because as provided below the terminal part of the statutory term of any patient granted to the terminal path the expiration date of the full statutory term of any patient granted on pending reference Application Number on September 19,2003 as so the term is defined in 35 U.S.C. 154 and 173, and as the term of any application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending herby agrees that any patent so granted on the instant application shall be enforceable only for and during granted on the reference application are commonly owned. This agreement runs with any patent granted building upon the grantee, its successors or assigns.	ation which would extend beyond _10605293
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on extend to the expiration date of the full situation; term as defined in 35 U.S.C. 154 and 173 of any papicitation, "as the term of any patent granted on said reference application may be shortened by any terminal of any patent on the pending reference application; in the event that, any such patent: granted on the expires for failure to pay a maintenance fee, its held unreforceable, is donn if walled by a control drompeter; in in whole or terminally declared under 37 CPR 1.321, has all datins canceled by a reasonimation certificate terminated prior to the expiration of its sulf statistics term as shortened by any terminal disclaimer filled prior to	atent granted on said reference minal disclaimer filed prior to the pending reference application: insdiction, is statutorily disclaimed a, is reissued, or is in any manner
Check either box 1 or 2 below, if appropriate.	
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I hereby declare that all statements made herein of my own knowledge are true and that all state belief are believed to be true; and further that these statements were made with the knowledge that willful made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State statements may jeopardize the validity of the application or any patent issued thereon.	false statements and the like so
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In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statioty term as defined in 3 SLS. CI 54 and 173 of any patent granted to the full station of a SLS. CI 54 and 173 of any patent granted to said reference application, "as the term of any patent granted on said reference application may be shortened by any terminal disclaimer flied prior to the grant of any patent or the pending reference application." In the event that any such patent; granted on the pending reference application. expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorly disclaimed in whole or terminally disclaimed under 37 CFR1.321, has all claims canceled by a resymmetric confidence, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer first prior to its grant.
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and urture that these statements were made with the knowledge that willful false statement that like so made are punishable by fine or imprisonment, or both, under Section 1001 of 7file 18 of the United States Code and that such willful false statements may looperdize the validity of the application or any patient issued thereon.
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September 17, 2007 Date Signature September 17, 2007
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